




GRANTS

Water Resources and Watershed Committee Meeting
July 8, 2020
Item 5

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- Grant Acquisition
 - State Grant Management
 - Federal Grant Management
 - Open Grants / Projects
 - Grant Funding Summary
 - Moving Forward
 - Summary of the Policies and Procedures Manual

GRANT ACQUISITION PROCESS

- Projects
 - Identified in the Agency CIP
 - Other emergent need
- Grant Research
 - Matching a project with grant opportunity
 - Assessing probability of award and cost effectiveness
- Grant Application
 - Team Effort
 - A good story
- Grant Award
 - Negotiation and execution the Grant Agreement

STATE GRANT MANAGEMENT

- Grant Administration Consultant
- Kick off meeting with funding agency
- Quarterly reporting and invoicing
- Grant amendments
- Project Completion Reporting
- Release of Retention Funds
- Final Grant Completion Report
- Post Project Completion Reports
- Audits





FEDERAL GRANT MANAGEMENT

- Federal Grant Agreements
- Rules Governing Federal Grants
 - Federal Office of Business and Management (OMB 2CFR200)
 - Specific Federal Funding Agency (example: EPA, BOR, etc.) rules
 - Agency Policies
- Federal Single Audit
 - Triggered by spending \$750K of federal funding in a fiscal year from any federal source
 - Serious audit findings may affect future awards, or trigger repayment of awarded funds already received
- SCV Water's experience with Federal Grant awards and management is limited

OPEN GRANTS / PROJECTS



- Prop 84 IRWM Round 1 Implementation (4 Projects)
 - SCV Water Use Efficiency Plan *(complete JAN2015)*
 - Santa Clara River Sewer Trunk Line Relocation *(complete NOV2016)*
 - Phase 2B & 2D Recycled Water Project *(ongoing)*
 - Santa Clara River, San Francisquito Creek Arundo & Tamarisk *(complete JAN2015)*
- Prop 84 IRWM Round 2 Implementation (5 Projects)
 - CLWA SCV WUE Programs *(complete JUL2016)*
 - SCWD WUE Programs *(complete JUL2016)*
 - Foothill Feeder Connection *(complete JAN2020)*
 - Saugus Water Reclamation Plant – Ultraviolet Disinfection (SCVSD) *(ongoing)*
 - USCR/Arundo/Tamarisk Removal Program *(complete SEP2016)*
- Prop 84 IRWM 2014 Drought Grant (3 Projects)
 - RRB/CLWA Banking Program *(complete JAN2020)*
 - CLWA/SWSD Extraction and Conveyance *(complete JAN2016)*
 - Valencia Water Reclamation Plant – Ultraviolet Disinfection (SCVSD) *(ongoing)*
- Prop 1 Sustainable Groundwater Planning Grant (1 Project)
 - Funds activities related to the state mandated Groundwater Sustainability Plan *(ongoing)*

ALL OPEN GRANTS

Grant	Start Date	End Date	# of Projects within Grant ^{1,2}	Total Project Cost	DWR Funding	Required Funding Match (Non-State Share)	Non-State Share (Funding Match)	Other Non-State Share
Prop 84 Round 1 Implementation (Amend 7 Pending)	4/10/2012	12/31/2021	5	\$ 14,783,556	\$ 6,931,000	\$ 4,140,280	\$ 7,852,556	\$ -
Prop 84 Round 2 Implementation	6/17/2014	12/31/2020	6	\$ 16,554,002	\$ 7,006,481	\$ 5,100,603		\$ 4,446,918
Prop 84 2014 Drought Grant	7/20/2015	12/31/2020	4	\$ 33,691,780	\$ 16,744,039	\$ 9,081,713		\$ 7,866,028
Prop 1 Sustainable Groundwater Planning	12/5/2018	12/31/2022	1	\$ 2,047,434	\$ 1,307,265	\$ 740,169		
Prop 1 Round 1 IRWM Implementation (Preliminary Award)	N/A	N/A	6	\$ 52,112,529	\$ 10,455,840	\$ 26,460,834		\$ 15,195,855
				\$ 119,189,301	\$ 42,444,625	\$ 45,523,599		

1. Includes Grant Admin as Project
2. Includes predecessor agency projects and subgrants

SCV WATER PROJECTS ONLY

Grant	Start Date	End Date	SCV Water Projects w/in Grant ^{1,2}	Total Project Cost	DWR Funding	Required Funding Match (Non-State Share)	Non-State Share (Funding Match)	Other Non-State Share
Prop 84 Round 1 Implementation (Amend 7 Pending)	4/10/2012	12/31/2021	4	\$ 14,057,107	\$ 6,264,551	\$ 4,110,280	\$ 7,792,556	\$ -
Prop 84 Round 2 Implementation	6/17/2014	12/31/2020	4	\$ 7,804,002	\$ 4,003,399	\$ 3,800,603	\$ -	\$ -
Prop 84 2014 Drought Grant	7/20/2015	12/31/2020	3	\$ 15,616,780	\$ 11,535,067	\$ 4,081,713	\$ -	\$ -
Prop 1 Sustainable Groundwater Planning	12/5/2018	12/31/2022	1	\$ 2,047,434	\$ 1,307,265	\$ 740,169	\$ -	\$ -
Prop 1 Round 1 IRWM Implementation (Preliminary Award)	N/A	N/A	2	\$ 9,124,450	\$ 3,090,000	\$ 4,880,005	\$ -	\$ 1,154,445
				\$ 48,649,773	\$ 26,200,282	\$ 17,612,770		

1. Includes Grant Admin as Project
2. Includes predecessor agency projects and subgrants

MOVING FOWARD

- Engineering Solutions Service, Inc.
 - Reviewing Agency Capital Improvement Plan to identify current and future funding opportunities
 - Assisting in forward planning for required documentation and studies
 - Advising on alternate funding sources
- Grant Applications – Federal Grants
 - Saugus Wells 3 & 4 – application underway (\$750K to \$1.5M)
 - AMI – investigating project viability for grant (up to \$500K)
 - Conservatory Garden – Investigating grant opportunities for construction of the education garden
 - Local Hazard Mitigation Plan – Investigating funding opportunity to complete the LHMP

Why a Grant Management Policy and Procedure Manual?

- Financial benefit of grants to SCV Customers is substantial
 - Having policies and procedures demonstrates Agency's commitment proper administration which should enhance ability to secure additional grants
- Grant administration is complex and involves many internal departments and staff
- Grant workload is substantial
- Consequences of misadministration are significant



What's Covered in the Manual?

- Federal and State Grant Management Principles
- Federal Single Audit Principles
- Key Personnel on a Grant
- Four Phases of a Grant
- Financial Management
- Ethics
- Procurement
- *CHECKLISTS*

Recommendation

- Recommend that the Board adopt the Grant Management Policies and Procedures Manual





QUESTIONS?